

**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☒ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 34228

First Named Inventor Kaoru Shinba

COMPLETE IF KNOWN

Application Number /
Filing Date December 11, 2001
Group Art Unit
Examiner Name

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR OVERLOAD-CONTROLLING
INVERTER POWER GENERATION APPARATUS**

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY) as United States Application Number or PCT International

Application Number and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
Patent Application No. 380684/2000	Japan	12/14/2000	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number or Bar Code Label  OR ☐ Correspondence address below

00116

PATENT TRADEMARK OFFICE

Name

Address

City

State

ZIP

Country

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Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR : ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any]) KaoruFamily Name
or Surname SHINBAInventor's
Signature 榛葉 薫

Date NOV. 1, 2001

Residence: City Numazu-shi

State Shizuoka-ken

Country Japan

Citizenship Japanese

Mailing Address c/o KOKUSAN DENKI CO., LTD. 3744 Ohka

City Numazu-shi

State Shizuoka-ken

ZIP 410-0022

Country Japan

NAME OF SECOND INVENTOR: ☐ A petition has been filed for this unsigned inventorGiven Name
(first and middle [if any])Family Name
or SurnameInventor's
Signature

Date

Residence: City

State

Country

Citizenship

Mailing Address

City

State

ZIP

Country

☐ Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.



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PEARNE & GORDON LLP

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FEBRUARY 12, 2002

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526 SUPERIOR AVENUE EAST
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UNITED STATES PATENT AND TRADEMARK OFFICE
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RECORDATION DATE: 12/11/2001

REEL/FRAME: 012383/0326
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST. (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
SHINBA, KAORU

DOC DATE: 11/01/2001

ASSIGNEE:
KOKUSAN DENKI CO., LTD.
3744 OHKA
NUMAZU-SHI, SHIZUOKA-KEN, JAPAN

SERIAL NUMBER: 10014999
PATENT NUMBER:

FILING DATE: 12/11/2001
ISSUE DATE:

TARA WASHINGTON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

12-26-2001

11/1

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

101925218

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Kaoru Shinba

12/11/01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Kokusen Denki Co., Ltd.Street Address: 3744 OhkaNumazu-shi, Shizuoka-ken, JAPANAdditional name(s) of receiving party(ies) attached? ☐ Yes ☒ No

3. Nature of Conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____Execution Date: November 1, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: November 1, 2001

A. Patent Application No.(s): _____ B. Patent No.(s): _____

Additional number(s) attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Thomas P. SchillerStreet Address: 526 Superior Avenue EastSuite 1200City: Cleveland State: OH Zip: 44114-1484

12/18/2001 BNGUYEN1 00000025 10014999

FC:581

40.00 OP

6. Total number of applications and patents involved: 17. Total Fee (37 C.F.R. §3.41) \$40.00☒ Enclosed☒ Authorized to be charged to Deposit Account
for any uncovered fees

8. Deposit Account No. _____

16-0820 Order No. 34228

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and Signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Thomas P. Schiller
Name of Person Signing
SignatureDecember 11, 2001
DateTotal number of pages including cover sheet, attachments, and document: 3

ASSIGNMENT

WHEREAS, new and useful improvements have been made by the undersigned in
METHOD AND APPARATUS FOR OVERLOAD CONTROLLING
INVERTER POWER GENERATION APPARATUS

and are the subject of a patent application prepared for filing in the United States Patent and Trademark Office, and executed by the undersigned on the dates indicated below in the appropriate spaces to the left of the signatures of the undersigned, which application is further identified as Pearne, Gordon, McCoy & Granger Docket No. 34228

WHEREAS, Kokusan Denki Co., Ltd., a corporation of Japan,
having a place of business at 3744 Ohka, Numazu-shi, Shizuoka-ken, Japan,
hereinafter referred to as "assignee", is desirous of acquiring all right, title, and interest throughout the world in, to, and under said improvements and inventions and patent rights therefor.

NOW, THEREFORE, be it known that, for valuable consideration, the receipt and sufficiency of which are hereby acknowledged, all right, title, and interest, in the United States and throughout the world, in, to and under said improvements and inventions and all patents, patent applications, patent rights, and inventor's certificates thereof, therefor, and therein, including without limitation said application for patent in the United States, all divisions and continuations thereof, all patents which may be granted thereon, all reissues and extensions thereof, all right to sue for past infringement thereunder, all patents which may be granted for said improvements and inventions by states or nations other than the United States, or by other authority, entity, or organization, and all applications therefor, have been and are hereby sold, assigned, transferred, and delivered unto assignee, its successors and assigns; and it is covenanted and agreed by the undersigned, and for executors, administrators, and legal representatives of the undersigned, that at assignee's request any and all applications, affidavits, assignments, and other instruments will be made, executed, and delivered as may be necessary, or desirable to secure for or vest in assignee, its successors or assigns, any improvement, inventions, right, title, interest, application, patent, patent right or other right or property covered by this assignment; and the United States Commissioner of Patents and Trademarks is hereby requested and authorized to issue any and all United States patents granted on any of said applications to assignee as owner of the entire right, title, and interest in, to, and under the same, and appropriately empowered officials of foreign countries are hereby authorized to issue any letters patent granted on any of said applications to assignee as owner of the entire right, title and interest in, to, and under the same.

The undersigned hereby grants the firm of Pearne, Gordon, McCoy & Granger the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

Date I Signed
Declaration

Today's
Date

久保正章 Nov. 1, 2001 / NOV. 1, 2001

NOV. 1, 2001

篠葉 薫
Name: Kaoru SHINBA

Witness

野津 徹 Nov. 1, 2001 / Address: c/o KOKUSAN DENKI CO., LTD.

Witness

3744 Ohka, Numazu-shi, Shizuoka-ken, Japan

Date I Signed
Declaration

Today's
Date

Witness

Name:

Address:

Witness

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Today's
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